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(Depositor's name)

(Signature)

(Date)

30623 7590 10/03/2006
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10/26/2006 EFL0RES1 00000032 09927012

01 FC:2501 700.00 OP
02 FC:1504 300.00 OP
03 FC:0001 30.00 OP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/927,012	08/09/2001	Nobuko Uchida	17810-510 DIV (SCI-10)	5213

TITLE OF INVENTION: COMPOSITIONS OF ENRICHED CENTRAL NERVOUS SYSTEM STEM CELL AND PROGENITOR CELL POPULATIONS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO YES	\$1400 \$700	\$300	\$0	\$1700 \$1000	01/03/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
HAYES, ROBERT CLINTON	1649	435-368000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Mintz, Levin, Cohn, Ferris,

Glovsky and Popeo, P.C.

2 Ivor R. Elrifi

3 Chrisitna K. Stock

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

STEMCELLS CALIFORNIA, INC.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

PALOCALTO, CALIFORNIA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☒ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 10

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

☒ A check is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0311 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

(Ref. 17810-510 DIV)

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Authorized Signature

Christina K. Stock

Date October 23, 2006

Typed or printed name

Christina K. Stock

Registration No. 45,899

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Date of Deposit: October 23, 2006

Attorney Docket No. 17810-510 DIV (SCI-10 DIV)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Uchida et al.

SERIAL NUMBER: 09/927,012

EXAMINER: Robert Clinton Hayes

FILING DATE: August 9, 2001

ART UNIT: 1649

FOR:

COMPOSITIONS OF ENRICHED CENTRAL NERVOUS SYSTEM
STEM CELL AND PROGENITOR CELL POPULATIONS

MAIL STOP ISSUE FEE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

October 23, 2006
Boston, Massachusetts

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Issue Fee Transmittal (1 page);
2. Check No. 23245 in the amount of \$1,030.00 (Issue Fee \$700.00, Publication Fee \$300.00, and Advance Copies of Patent \$30.00);
3. Return Postcard.

Although Applicants believe that no additional fees are due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 17810-510 DIV (SCI-10 DIV). A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529
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